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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/998,814	10/24/2001	Joseph H. Bartozzi	

27804  
HOLLAND & BONZAGNI, P.C.  
171 DWIGHT ROAD, SUITE 302  
LONGMEADOW, MA 01106-1700

CONFIRMATION NO. 5922

FORMALITIES LETTER



\*OC000000007225624\*

Date Mailed: 12/21/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

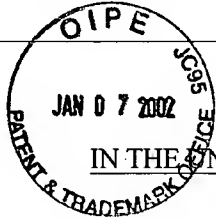
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/16/2002 HNCOR1 00000010 09998814  
01 FC:105 130.00 0P

"Express Mail" mailing label no.: EL 874034272 US Date of Deposit: 01/07/01  
I hereby certify that this paper or fee is being deposited with the United States Postal Service's "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box MISSING PARTS, Washington, D.C. 20231.

By \_\_\_\_\_



*S. Lopez*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	Group Art Unit: 3641
JOSEPH H. BARTOZZI <i>ET AL.</i>	)	Examiner: Unknown
Serial No.: 09/998,814	)	
Filed: October 24, 2001	)	
For: APPARATUS AND METHOD FOR	)	
LOCKING FIREARM IN AN OPEN	)	
POSITION BY LOCKING ACTION	)	

REPLY TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner of Patents  
Box MISSING PARTS  
Washington, D.C. 20231

Dear Sir:

Transmitted herewith for filing is a fully-executed Declaration and Power of Attorney document, listing the co-inventors Joseph H. Bartozzi, Robert A. Talbot, and Wayne E. Hopkins, for the patent application identified above. In addition to the executed Declaration and Power of Attorney, please find the Formal Drawings for this application.

Also transmitted herewith is a copy of the "Notice To File Missing Parts of Nonprovisional Application," dated December 21, 2001, that relates to this application.

A check in the amount of \$130 is attached that reflects the surcharge set forth in 37 C.F.R. 1.16(e).

Please charge any additional fees or credit any overpayment to Deposit Account No. 08-2622.

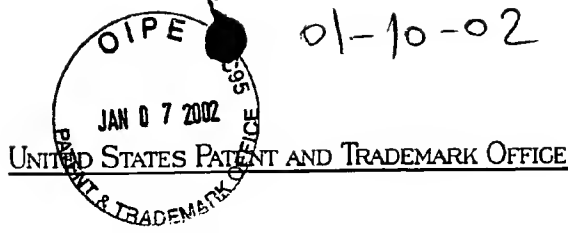
Respectfully submitted,



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Date: January 7, 2002

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Page 1 of 2

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/998,814	10/24/2001	3641	1178		14	21	8

CONFIRMATION NO. 5922

27804  
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FILING RECEIPT



\*OC000000007225623\*

Date Mailed: 12/21/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Joseph H. Bartozzi, Residence Not Provided;  
Robert A. Talbot, Residence Not Provided;  
Wayne E. Hopkins, Residence Not Provided;

Received  
DEC 31 2001

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/242,860 10/24/2000  
AND CLAIMS BENEFIT OF 60/254,140 12/08/2000  
AND CLAIMS BENEFIT OF 60/297,948 06/13/2001

Foreign Applications

If Required, Foreign Filing License Granted 12/21/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

Title

Apparatus and method for locking firearm in an open position by blocking action

Preliminary Class

042

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**Title 37, Code of Federal Regulations, 5.11 & 5.15**

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